Update on Recent Federal & State Requirements Related to Undue Foreign Influence

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Agenda

• UF RISC Overview
• Federal and State Concerns
• Recent Federal Communications
• Recent State Legislation
• Questions
UF Research Integrity, Security and Compliance

- Research Integrity
  Includes Research Misconduct and Research Integrity Violations

- International Engagements & Collaboration

- Research Conflict of Interest

- Export Control

- Facility Security

- Coordination with Research Services
  IRB, IACUC, Animal Care
Federal and State Concerns

• Unreported outside activities including professional appointments
  • Conflict of interest
  • Conflict of commitment

• Missing activities and resources on biosketches & other support
  • May impact sponsor funding decisions

• Publishing for parties other than your employer

• Long-term travel/alternate work locations

• Export Controls
Federal Updates

• **Collaborations:** Depending on the nature of the collaboration, likely need to disclose to Sponsors
  - Foreign Component--if collaborator performing substantial part of the project
  - Other (Current & Pending) Support
    - Visiting researchers and students, not paid by UF or grant sources
    - Collaborations unfunded or on other research projects (they are seen to provide scientific or scholarly value to your work or you wouldn’t be collaborating)

• **Outside activities & affiliations:** Disclose to UF & Sponsors
  - Must be listed on your Biosketch
  - Depending on the nature of the activity, may also need to be included in other support. Note: now includes visiting scholars, lab samples, equipment, etc.
State Updates

• On 6/7/2021, the Governor signed HB7017--Act Relating to Foreign Influence, which was passed unanimously by the Florida Legislature
• Most sections effective July 1, 2021 (Travel review requirement effective January 2022)
• UF must appoint Research Integrity office (UF RISC) for screening and approvals related to hiring researchers and travel
• Many restrictions related to “Countries of Concern”

Countries of Concern
- China
- Russia
- Iran
- North Korea
- Cuba
- Venezuela
- Syria
Foreign Influence and Disclosure Task Force

- Chaired by Vice President for Research
- Representatives from across campus
- Tasked with assessing risk, identifying solutions & minimizing burden to campus
- Working Groups focus of specific bill components (screening, gifts, travel, data & systems, etc.)

Membership
- RISC
- Sponsored Programs
- Compliance & Ethics
- General Counsel
- Conflict of Interest
- UF HR
- Controller
- Graduate School
- Faculty Representatives
### HB7017 At a Glance

<table>
<thead>
<tr>
<th>Section &amp; Title</th>
<th>Requirements</th>
<th>Responsible Office</th>
</tr>
</thead>
<tbody>
<tr>
<td>1010.25--Foreign Gift Reporting</td>
<td>UF must report any contract, gift, grant, endowment, award, or donation of money or property of any kind received directly or indirectly from a foreign source with a value of $50,000 or more during the fiscal year.</td>
<td>UF Compliance and Ethics</td>
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<tr>
<td>288.860--International Cultural Agreements</td>
<td>Limits certain cultural agreements with countries of concern, when those countries control the curriculum.</td>
<td>UF Compliance and Ethics</td>
</tr>
<tr>
<td>1010.36--Foreign travel, research institutions</td>
<td>UF must establish an international travel program to review and approve all international travel</td>
<td>UF RISC in coordination with Finance and Accounting (Travel)</td>
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<td>1010.35--Screening foreign researchers</td>
<td>UF RISC required to review and approve all applications for research and research support positions</td>
<td>UF RISC in coordination with UFHR</td>
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</tbody>
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* Bill also includes 286.101--Foreign Gifts and Contracts, which has limited applicability to UF since IHEs follow 1010.25
1010.36—Foreign travel, research institutions

• By January 1, 2022, must establish an international travel approval and monitoring program.

• UF RISC must review and approve all employment-related international travel
  • Traveler’s must agree to follow all UF policies, state statutes and federal laws while traveling
  • Website with more information in coming weeks

• Other requirements related to document retention and annual reporting to the BOG on travel to countries of concern
Screening foreign researchers

Prior to hire, UF RISC required to review and approve all applications for research and research support positions, including:

- Faculty and staff, graduate students and applicants for positions of visiting researcher (international visitors)

- Applicant materials must include all post-high school education and employment, publications, ongoing professional affiliations and outside activities, and current and pending funding

- Task Force focus is to minimize burden on researchers and selection committees
1010.35—Screening foreign researchers

• Phased rollout of screening requirements
• Phase 1: International Visitors
  • New International Visitor policy and procedure started in August
  • All applications for international visitors on a J-1, J-2 or B visa must be reviewed and approved by UF RISC prior to visa processing and arrival
  • Current participants include Colleges of Engineering & Pharmacy and parts of IFAS. Rollout continuing through 2021.
• Screening of other populations in future phases; continue to follow current UF policies & processes for faculty, staff and student applications for now
Questions?