# International Engagement & Researcher Screening

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### Agenda

- International Engagements and Collaborations
- Requirements Overview
- Researcher Screening—New Hires
- International Visitor Screening





### International Engagements and Collaborations

- UF supports and encourages international engagements and collaborations
- Recent concerns from our federal and state partners
  - Unreported outside activities including professional appointments
    - Conflict of interest & conflict of commitment
  - Missing activities and resources on biosketch & other support documents
  - Theft of IP and research data
- In 2020, Florida passed legislation to address some of these concerns





### **HB7017 At-a-Glance**

Section & Title	Requirements
1010.25Foreign Gift Reporting	UF must report any contract, gift, grant, endowment, award, or donation of money or property of any kind received directly or indirectly from a foreign source with a value of \$50,000 or more during the fiscal year.
288.860International Cultural Agreements	Limits certain cultural agreements with countries of concern when those countries control the curriculum, or the program poses safety/security risks.
1010.36Foreign travel, research institutions	UF must establish an international travel program to review and approve all international travel
1010.35Screening foreign researchers	UF RISC required to review and approve all applications for research and research support positions

<sup>\*</sup> Bill also includes 286.101--Foreign Gifts and Contracts, which has limited applicability to UF since IHEs follow 1010.25

### Researcher Screening

• FS 1010.35 Requires that UF screen *all* research and research support positions prior to hire or access to research data or materials.

1010.35(2)(b): "All applicants described in subsection (1) must submit a complete resume and curriculum vitae, including every institution of higher education attended; all previous employment since the applicant's 18th birthday; a list of all published material for which the applicant received credit as an author, a researcher, or otherwise or to which the applicant contributed significant research, writing, or editorial support; a list of the applicant's current and pending research funding from any source, including funder, amount, applicant's role on the project, and brief description of the research; and a full disclosure of nonuniversity professional activities, including any affiliation with an institution or program in a foreign country of concern. For applicants who have been continually employed or enrolled in a postsecondary education institution in the United States for 20 years or more, the resume may, but need not, include employment history before the most recent 20 years."





### Researcher Hire Process

Vacancy identified

Position posted in PageUp & marked as research or research support

All applicants screened against Restricted Party list Search Committee reviews applicants & conducts interviews

College selects finalist

Department notifies UFHR of intent to offer UFHR sends finalist required questionnaire

UFHR conducts preliminary review, including education verification & background check

UF RISC conducts final review and notifies HR of clearance for hire

Department proceeds with offer to candidate





### Research & Research Support Positions

- Researcher/Research Support: a person who directs or conducts research or receives training in the conduct of research or a person who collects, manipulates or controls access to research data.
- UF HR has posted a <u>list of positions</u> that meet this definition
  - Other positions reviewed on a case-by-case basis
- Clinical positions for **patient care only** in HSC are exempt
- Non-recruited hires, including OPS faculty and staff, will be brought into this process soon





### Required Questionnaire for Finalists

#### General information

- Does your CV or resume (submitted here or at the time of application) include all education and employment since high school?
- Has your CV or resume changed since submission of your application?
- Does your CV or resume include all of your professionally published materials on which you are credited or otherwise contributed significant support?

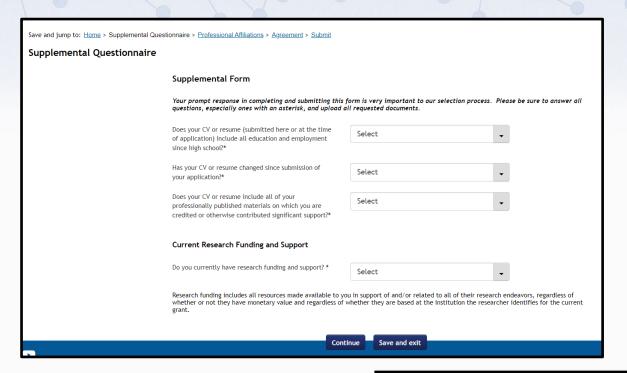
#### **Current & Pending Funding**

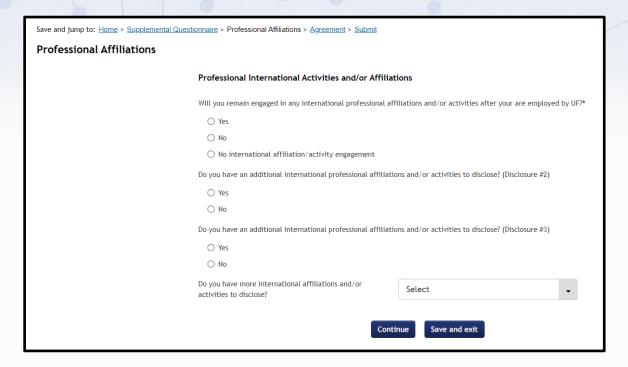
- Please provide information about your current research funding and support. Please upload a document that is substantially similar NIH Current & Pending form or NSF Other Support Form
- Other Types of Support
  - List all equipment, materials & supplies you intend to bring with you in support of your research regardless of original funding

### Professional International Activities and/or Affiliations

- Will you remain engaged in any international professional affiliations and/or activities after your are employed by UF?
  - Title
  - Entity Name
  - Country Where Entity is Located
  - Annual Remuneration
  - Annual Time Commitment

### **Applicant Experience**





#### **CERTIFY**

I certify that all provided information and documents are true, accurate and complete to my best knowledge. I understand that any false statement or omissions made by me on this form may be grounds for disqualification from any employment opportunities at the University of Florida or its affiliated organization. I authorize and release the University of Florida to verify all information submitted on this form and on all my application materials in support of my application for employment.

BY SIGNING BELOW, I certify that I have read and agree with these statements.

Please type in your initials; Your initials will be used as your digital signature.\*

Continue

Save and exit





### **Finalist Screening**

- HR verifies education and conducts background check
- UF RISC conducts risk-based screening

1010.35 (3): "...The state university or applicable entity may also direct the [Research Integrity Office] to approve applicants for hire based on a risk-based determination considering the nature of the research and the background and ongoing affiliations of the applicant."

- Note: Restricted party screening occurs upon application submission
  - Verified RPS hits removed from applicant pool and not considered by Search Committees





## **UF Policy On Hosting International Scholars & International Visitors**

- Policy applies to all University Colleges, Departments and Units who host International Scholars or International Visitors.
- International Scholars means <u>individuals who are coming to the University on a J-1 visa</u> sponsored by the University. This includes all J-1 visa applicants designated as Professor, Research Scholar, or Short-Term Scholars. International Scholars do not include undergraduate or graduate students.
- International Visitors means <u>individuals who are not U.S. citizens or permanent</u> <u>residents</u>, who do not meet the definition of International Scholars, and are coming to the University for a period of thirty (30) or more days. International Visitors do not include undergraduate or graduate students.





### The International Scholar Review Portal

The International Scholar Visit Review Portal can be found on the International Scholar webpage.

Review requests must be submitted to the portal at least thirty (30) days prior to the extension of the Invitation or at least thirty (30) days prior to any UF imposed visa-processing deadline.

UFIC will not process J-1 visa requests without documentation that the UF RISC review is complete/

Note: UF RISC will not review graduate or undergraduate students submitted through the portal.







### **Questions?**





### Resources

**UF RISC Website** 

https://research.ufl.edu/compliance.html

**UF RISC International Engagement and Collaborations** 

https://research.ufl.edu/compliance/international-engagement-and-collaborations.html

**UFIC** Website

https://internationalcenter.ufl.edu/

Research and Research Support Positions

https://hr.ufl.edu/wp-content/uploads/2022/01/Listing-of-Researcher-and-Research-Support-Positions.pdf

**Policy on Export Controls** 

https://uf.force.com/PolicyHub/s/article/Export-Control-Compliance#1-policy-statement

Policy on Hosting International Scholars and International Visitors

https://uf.force.com/PolicyHub/s/article/Hosting-International-Scholars-and-International-Visitors



