

# Update on the Health Science Center IRB-01

R. Peter Iafrate, Pharm.D.

Chair, IRB-01

# UF Institutional Review Boards

- **IRB-01** Health Science Center (Biomedical)
- IRB-02 UF Campus (Behavioral Science)
- IRB-03 Shands Jacksonville (Biomedical)
- IRB-04 Western Institutional Review Board (WIRB) - (Biomedical)

# Health Center IRB-01

## Structure

- Vice President for Research, UF (David Norton, Ph.D. )
- Chairman, IRB-01
  - Peter Iafrate, Pharm.D.
- Vice Chairman, IRB-01
  - Keith Peters, M.D. (Radiology)
  - Juan Aranda, M.D. (Cardiology)
  - Ray Moseley, Ph.D. (Ethics)
  - Charles Riggs, M.D. (Adult Oncology)
  - Matt Morrow, Pharm.D. (VA Pharmacy)
  - Sue McGorray, Ph.D. (Biostatistics)
  - Dianne Farb, J.D.
- Legal Counsel
  - David Lewis, J.D.

# What I will cover

- IRB Changes over the past few years
- PI and Study Staff Training
- Common Rule Saga
- NIH and single IRBs
- AAHRPP Accreditation
- Faculty Survey
- IRB Metrics
- Coming Attractions

# IRB Changes

(last 3 years)

- All minor revisions reviewed within 1 working day
- All “Exempt” and “Non-human” reviews completed within 24 hrs
- “Transfer” studies for new faculty to facilitate moving their research from their old institution.
- Consent forms tailored to study type
- Discretionary policy (6/2015) for Non-Federally Funded Studies
  - 885 studies approved for 3 years instead of 1 year.
- Policy written to clarify students role in research
- Task force resulted in hiring a full time vice chair in Sept 2016
- State reciprocity agreement to allow research between the 12 state Universities.

# IRB Changes

(last 3 years)

- OneFlorida IRB that covers the 12 partners within OneFlorida
- Moved all protocol submissions to myIRB
- Initiate committee on recruitment via Social Media
- Investigator Guidelines to provide instructions to study staff and to provide more consistent answers <http://irb.ufl.edu/index/irb-policies-guidelines-and-guidances.html>.
- Reliance team within the IRB to facilitate ceded reviews and single IRBs
- Decreased time to assign protocols to Full Board
- Staff\Board Member approving retrospective data review studies within 2-3 days
- Revised IRB training from 60 minutes to 15 minutes

# Common Rule Changes Update

- Federal Human Research Regs (45 CFR 46) 1981
- Delayed at least 6 months, occurred the day before the implementation date (1/18/18).
- Now taking any additional comments
- Don't hold your breath
- But, we may make changes anyway:
  - Revise Informed Consent Form
  - May apply all or some of the changes for non-Federally funded studies
  - Stay tuned!

# NIH & Single IRBs (sIRB)

- For any NIH funded multicenter study
  - “For applications with due dates on or after January 25, 2018..”
  - There are exceptions, we’ll see
  - Can you charge NIH for this function?
- When someone is asking you to “cede” to another IRB, or you want to initiate UF being the “Single IRB”
- How to apply within the UF system?
  - IRB-01 has established a “Reliance Team”
  - myIRB software built to allow these submissions
  - Email or call Renee Collins or Ivana Simic in the IRB office 273-9600



# sIRB - Impact

- NIH Definition:** “The use of a single IRB of record for multi-site studies that are conducting the same protocol will help **streamline** the IRB review process by eliminating the unnecessary repetition of those reviews across sites.”
- Software and IRB Process changes made (both IRB and Investigator\Staff)

Function	IRB-01	Cede Review
Contracts, OCR, HURRC, COI, SRMC	Local Review	Local Review
Reliance agreement – separate institutional agreements (each one is different)	N\A	IRB Administration & General Counsel’s Office
Protocol Submission	Via myIRB	Via myIRB (light)
Protocol & Consent Review	By Full Board or via Exec Review	Exec Review
Privacy Board	IRB-01	IRB-01 or Reviewing IRB
State and UF Policies Enforced	Always	Always

# IRB Training

- Will be the same now for IRB-01, 02, & 03
- Includes:
  - NIH (every 30 years)
    - CITI is an option, not recommended
  - HIPAA for Researchers (yearly per Privacy Office)
  - IRB Local Training
    - IRB800 - Initial Training (once)
    - IRB802 – Refresher (every 3 years – you will get pinged)
- No more lafrate video!!!!
- VA – additional training, contact research office (JB Jennings)

# IRB Training

## IRB-01 Mandatory Local Training

This training provides an overview of local information regarding human subject research regulations, focusing on the process of IRB-01 protocol submissions and the requirements for Principal Investigators and related study staff. Completion of this training is one of the educational requirements put forth by NIH, the VA and the University of Florida Office of Research for submission and participation in protocols reviewed by the IRB-01.

This training will require approximately 15 minutes to complete.

At the end of this training, completion of a final assessment is required. To successfully pass the assessment, you must achieve a score of 80% or higher.

This course is provided by the Institutional Review Board with support from Training & Organizational Development.

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# IRB Training

## Students as Researchers

If your study involves students, make sure you understand the tasks that a student is permitted to perform. For example, undergraduate students cannot consent patients for greater than minimal risk studies. All student researchers must have a mentor and that must be designated in the protocol. Refer to the table below for more information.

Student Type	Minimal Risk				Greater Than Minimal Risk			
	PI	SS	PHI	Consent	PI	SS	PHI	Consent
Volunteer	Not allowed	Allowed	Not allowed	Not allowed	Not allowed	Allowed	Not allowed	Not allowed
Undergrad	Not allowed	Allowed	Allowed	Allowed	Not allowed	Allowed	Allowed	Not allowed
Graduate	Decided on a case-by-case basis	Allowed	Allowed	Allowed	Not allowed	Allowed	Allowed	Decided on a case-by-case basis
Doctoral or Resident	Decided on a case-by-case basis	Allowed	Allowed	Allowed	Not allowed	Allowed	Allowed	Decided on a case-by-case basis
Post-Doc Fellow	Decided on a case-by-case basis	Allowed	Allowed	Allowed	Decided on a case-by-case basis	Allowed	Allowed	Decided on a case-by-case basis

Color Key	
Not allowed	Red
Decided on a case-by-case basis	Yellow
Allowed	Green

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# AAHRPP

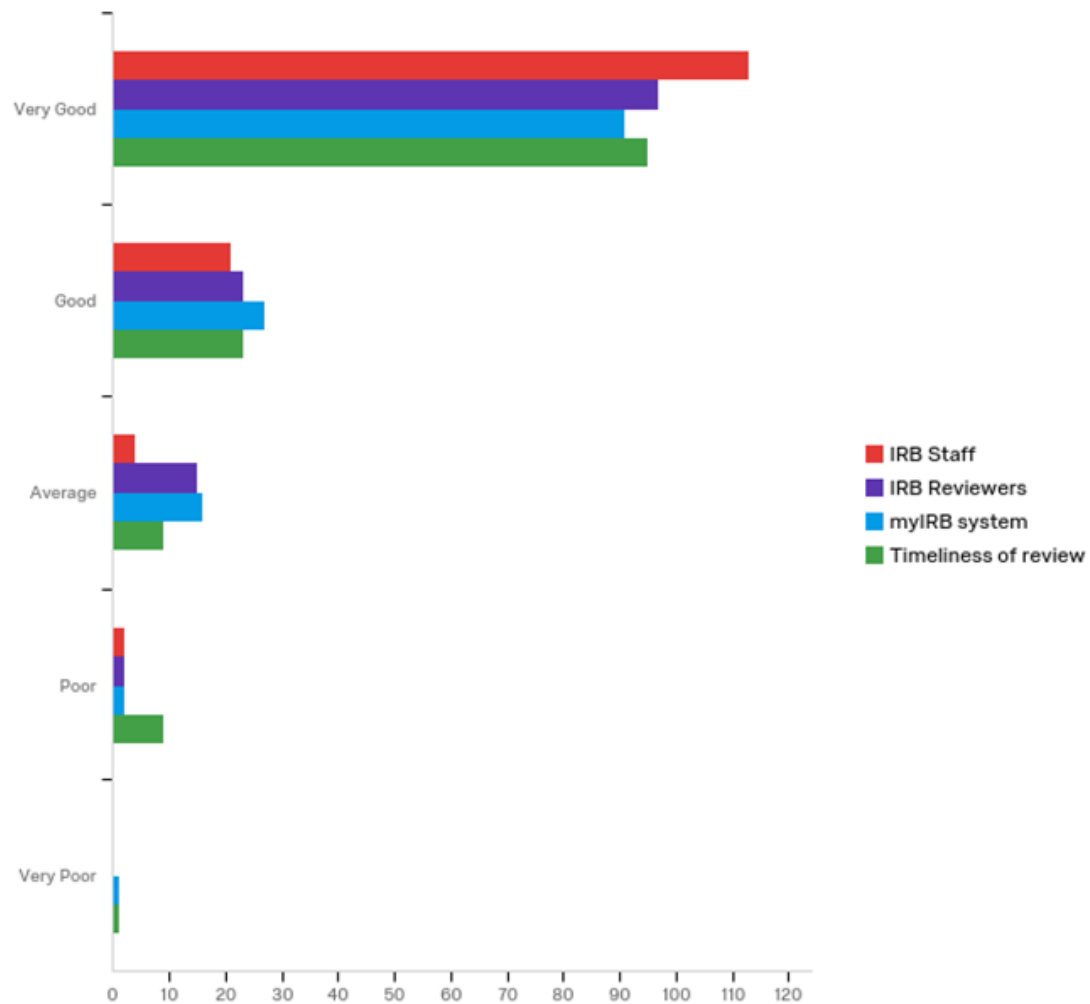
(Association for the Accreditation of Human  
Research Protection Programs)

- The “institution” is seeking accreditation.
- State cancer grant was the impetus
- Updated all policies and procedures
- On site inspection was January 10-12<sup>th</sup>
  - A few issues were identified that I will describe today
  - We must respond by February 20<sup>th</sup>.



# Satisfaction with IRB Survey Calendar 2017

Q3 - How would you rate our service / your experience?



# IRB-01 Metrics

Active Studies as of 2/9/18

Review Type	IRB-01	IRB-02	IRB-03
Full Board	464	3	41 27 legacy
Expedited	1669	613 83 legacy	255 37 legacy
Exempt\Non-Human	402\610	1361\65	26\4
Total =	3145	2125	390

WIRB Studies = 330 (Gnv), 107 (Jax)

Ceded Studies ~ 60

# Full Board New Protocols

## Median Data

Calendar Year	Days to Approval	No. of Full Board Mtgs	Days with the IRB	Days with the Study Staff	Days with the Reviewer	Days waiting for the IRB Meeting
2016	63.0	1.0	10.5	14.1	10.0	22.1
	(188)	Avg. % of days to approval	15%	34%	17%	32%
2017	64.0	1.0	8.0	18.5	10.0	18.3
	(162)	Avg. % of days to approval	11%	47%	14%	26%
January 2018	59.5	1.5	2.0	25.2	10.1	16.7
	(8) 2 tabled twice	Avg. % of days to approval	8%	41%	15%	33%



# Metrics on All Approved Studies

History   Stamped Docs   Revisions   Continuing Reviews   Reportable Events   Reviewer Checklists   Legacy   Reviewer Notes   Ancillary Status   **Metrics**

IRB201602471 - Expedited

Days to Approve: **32** (includes days until letter is sent)

**By Person:** # Days (Encounters)

Encounters: **3**

ReReviews: **0**

IRB Days: **8.64**

SS Days: **23.08**

Reviewer Days: **0.39**

Meeting Days: **0.00**

TBD Days: **0.00** (for IT staff use)

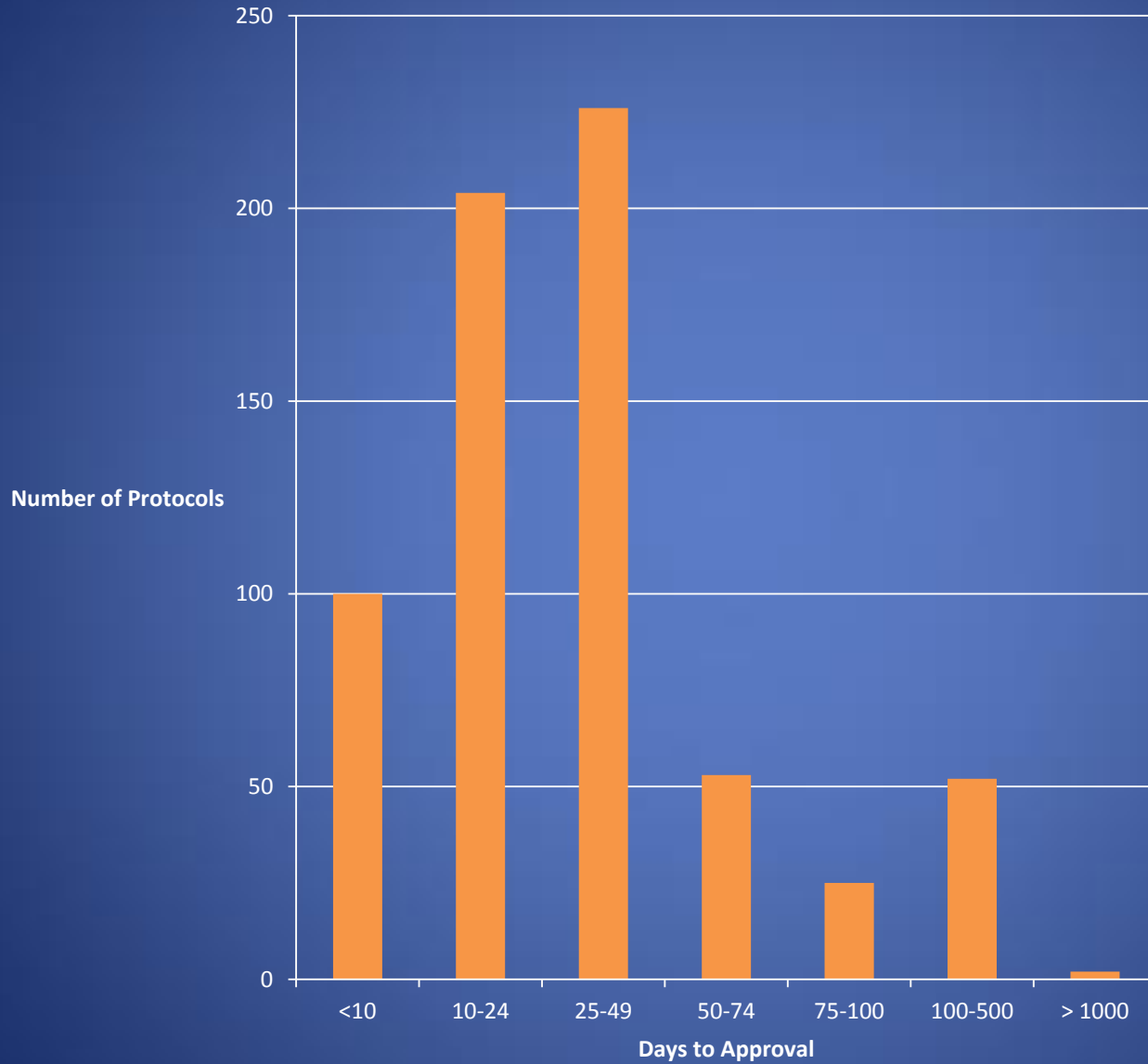
Allison Faunce	0.041 (1)
Ivana Simic	0.145 (1)
Ray Moseley	0.388 (2)
Igor Milosevic	0.774 (1)
<b>PI of Study</b>	23.084 (2)
Rebecca Wichman	4.828 (4)
Jamie Mayfield	2.854 (1)

# Expedited New Protocols

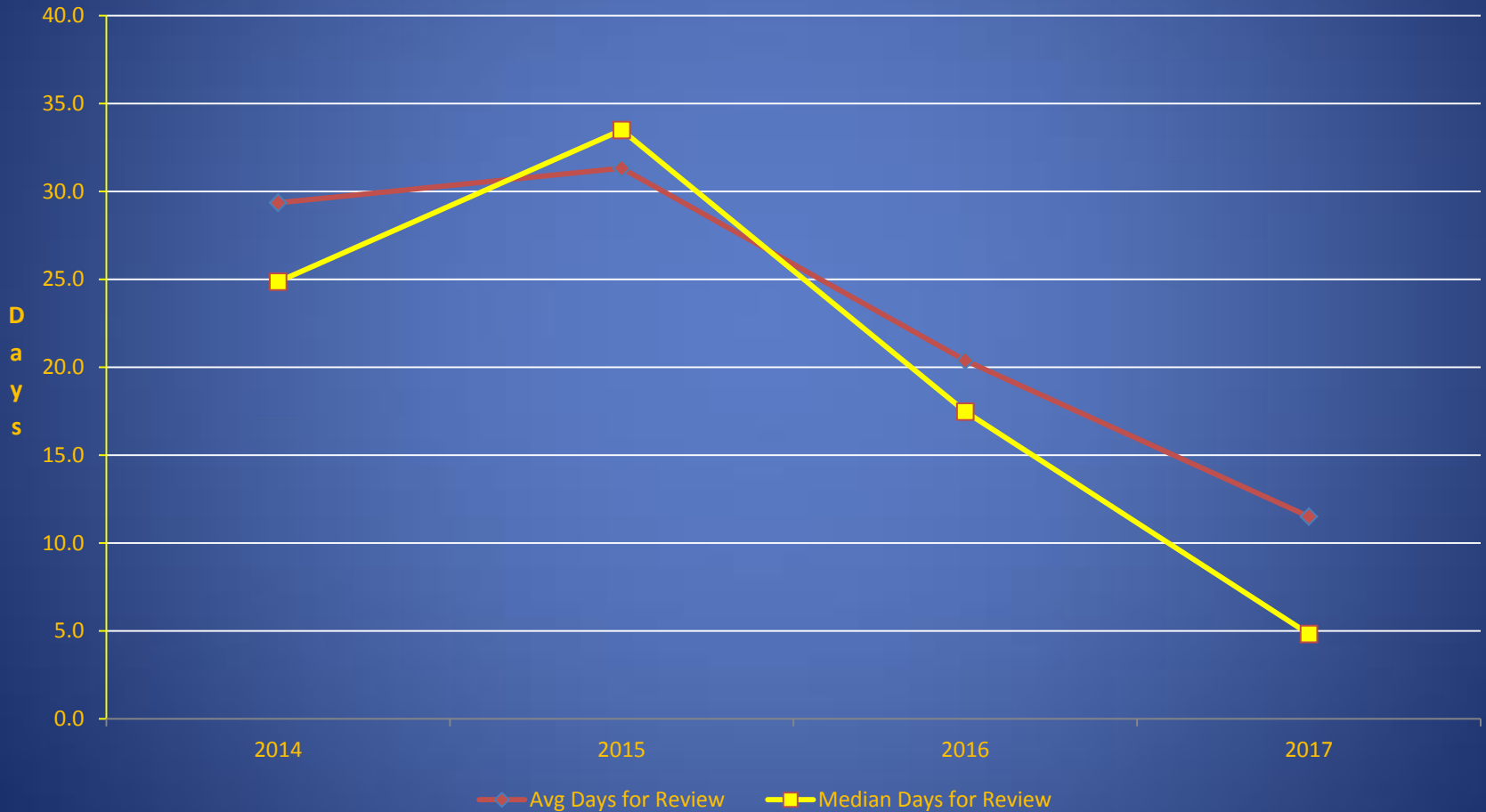
## Median Data

Calendar Year	Days to Approval	No. of Full Board Mtgs	Days with the IRB	Days with the Study Staff	Days with the Reviewer	Days waiting for the IRB Meeting
2016	37.5	NA	5.6	10.8	14.3	NA
	(574)	Avg. % of days to approval	13%	44%	41%	NA
2017	28.0	NA	4.5	8.2	7.2	NA
	(696)	Avg. % of days to approval	12%	58%	27%	NA
January 2018	22.0	NA	0.8	5.0	0.1	NA
		Avg. % of days to approval	6%	66%	21%	NA

# Expedited New Protocols 2017

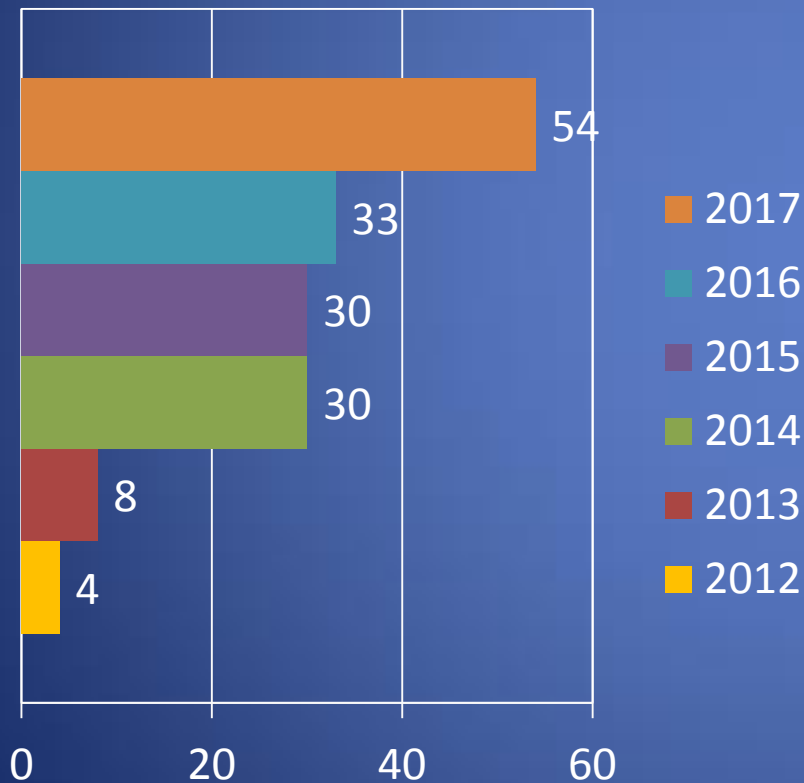


# IRB-01 Executive Reviewer Turn-Around-Time



# Delinquent PI Responses: IRB-01

New Protocols Currently Delinquent for >60 days (162)



All items Currently Delinquent for > 60 days

- New = 162
- Continuing Reviews = 22
- Revisions = 38
- Adverse Events = 9

# Delinquent Reminder

- Sent at 30 and 60 days:

Date: 11/26/2017

Study: IRB201701327 - Global Metabolomics and Psychoneurologic Symptoms of Chronic Graft-versus-Host Disease after Allogeneic Hematopoietic Cell Transplantation

On 9/27/2017 1:39 PM the IRB returned your new study [IRB201701327](#) to you for additional information.

This is a courtesy reminder to reply to the IRB's issues. This protocol will remain **unapproved** until you reply to the IRB's issues.

**NOTE:** the IRB Chair has been copied on this message and will be contacting you to discuss if the study should be withdrawn from the system.

# Selected Audit Results Within the last year

## 1. Consenting Issues:

- a) Can't locate all consent forms
- b) Patients screened for disease to determine eligibility without consent
- c) For in 18-45 y.o. women
  - 60% did not meet eligibility; (males, children, elderly)
- d) Wrong consent forms used for wrong studies
- e) Consent forms not back translated

# Selected Audit Results Within the last year

## 2. Compliance with approved protocol

- a) Blood collected from patients without targeted disease
- b) Numerous subjects receiving study interventions not approved by the IRB
- c) Pregnancy tests not completed as required per protocol
- d) Consent indicated multiple lab tests to be done, none done, not approved by IRB
- e) Many subjects did not have inclusion/exclusion criteria verified



# Potential Upcoming Changes

- Weekly meetings?
- CLICK Smart Form changes d/t common rule
- More routine audits?
- Involve IRB reviewers up-stream to avoid tabling at Full Board Meetings
- Continued Enhancements based on Metrics and feedback

# Take Home Messages?

1. Consent subjects correctly
2. Mentor your students
3. Follow Your Protocol !
4. Ask before you act

Questions??

